Communication Amid Uncertainty

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Context in Communication

- Sender + Receiver share (huuuge) context
 - In human comm: Language, news, Social
 - In computer comm: Protocols, Codes, Distributions
 - Helps compress communication
- Perfectly shared \Rightarrow Can be abstracted away.
- Imperfectly shared \Rightarrow What is the cost?
 - How to study?



Communication Complexity

The model (with shared randomness)



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Modelling Shared Context + Imperfection

- Many possibilities. Ongoing effort.
- Alice+Bob may have estimates of x and y
 - More generally: *x*, *y* correlated.
- Knowledge of f function Bob wants to compute
 - may not be exactly known to Alice!
- Shared randomness
 - Alice + Bob may not have identical copies.

Part 1: Uncertain Compression

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Specific Motivation: Dictionary

- Dictionary: maps words to meaning
 - Multiple words with same meaning
 - Multiple meanings to same word
- How to decide what word to use (encoding)?
- How to decide what a word means (decoding)?
 - Common answer: <u>Context</u>
- Really Dictionary specifies:
 - Encoding: context × meaning → word
 - Decoding: context × word → meaning
- Context implicit; encoding/decoding works even if context used not identical!

 $M_1 = w_{11}, w_{12}, \dots$

 $M_2 = w_{21}, w_{22}, \dots$

 $M_3 = w_{31}, w_{32}, \dots$

 $M_4 = w_{41}, w_{42}, \dots$

Context?

- In general complex notion ...
 - What does sender know/believe
 - What does receiver know/believe
 - Modifies as conversation progresses.
- Our abstraction:
 - Context = Probability distribution on potential "meanings".
 - Certainly part of what the context provides; and sufficient abstraction to highlight the problem.

The (Uncertain Compression) problem

- Wish to design encoding/decoding schemes (E/D) to be used as follows:
 - Sender has distribution P on $M = \{1, 2, ..., N\}$
 - Receiver has distribution Q on $M = \{1, 2, ..., N\}$
 - Sender gets $X \in M$
 - Sends E(P,X) to receiver.
 - Receiver receives Y = E(P, X)
 - Decodes to $\hat{X} = D(Q, Y)$
 - Want: $X = \hat{X}$ (provided P, Q close),

• While minimizing $Exp_{X\leftarrow P} |E(P,X)|$

Closeness of distributions:

• *P* is Δ -close to *Q* if for all $X \in M$,

$$\frac{1}{2^{\Delta}} \leq \frac{P(X)}{Q(X)} \leq 2^{\Delta}$$

$$P \text{ } \Delta \text{-close to } Q \qquad \Rightarrow \qquad D(P||Q), D(Q||P) \leq \Delta \quad .$$

Dictionary = Shared Randomness?

- Modelling the dictionary: What should it be?
- Simplifying assumption it is shared randomness, so ...
- Assume sender and receiver have some shared randomness R and X, P, Q independent of R.

$$\bullet Y = E(P, X, R)$$

 $\widehat{X} = D(Q, Y, R)$

• Want
$$\forall X$$
, $\Pr_R[\hat{X} = X] \ge 1 - \epsilon$

Solution (variant of Arith. Coding)

- Use R to define sequences
 - $\blacksquare \ R_1 \ [1], R_1 \ [2], R_1 \ [3], \dots$
 - $\blacksquare \ R_2 \ [1], R_2 \ [2], R_2 \ [3], \dots$

••••

• R_N [1], R_N [2], R_N [3], ...

• $E_{\Delta}(P, x, R) = R_x[1 \dots L]$, where *L* chosen s.t. $\forall z \neq x$ Either $R_z[1 \dots L] \neq R_x[1 \dots L]$ Or $P(z) < \frac{P(x)}{A\Delta}$

• $D_{\Delta}(Q, y, R) = \operatorname{argmax}_{\hat{x}} \{Q(\hat{x})\} \operatorname{among} \hat{x} \in \{z \mid R_z[1 \dots L] = y\}$

Performance

Obviously decoding always correct.

- Easy exercise:
 - $\operatorname{Exp}_X [E(P,X)] = H(P) + 2\Delta$
- Limits:
 - No scheme can achieve $(1 \epsilon) \cdot [H(P) + \Delta]$
 - Can reduce randomness needed.

Implications

- Reflects the tension between ambiguity resolution and compression.
 - Larger the ((estimated) gap in context), larger the encoding length.
 - Entropy is still a valid measure!
- Coding scheme reflects the nature of human communication (extend messages till they feel unambiguous).
- The "shared randomness" assumption
 - A convenient starting point for discussion
 - But is dictionary independent of context?
 - This is problematic.

Deterministic Compression: Challenge

Say Alice and Bob have rankings of N players.

• Rankings = bijections $\pi, \sigma : [N] \rightarrow [N]$

- $\pi(i)$ = rank of *i*th player in Alice's ranking.
- Further suppose they know rankings are close.

 $\forall i \in [N]: |\pi(i) - \sigma(i)| \le 2.$

- Bob wants to know: Is $\pi^{-1}(1) = \sigma^{-1}(1)$
- How many bits does Alice need to send (noninteractively).
 - With shared randomness 0(1)
 - Deterministically?

With Elad Haramaty: $O(\log^* n)$

Part 2: Imperfectly Shared **Randomness**

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Model: Imperfectly Shared Randomness

• Alice $\leftarrow r$; and Bob $\leftarrow s$ where

(r,s) = i.i.d. sequence of correlated pairs $(r_i, s_i)_i$;

 $r_i, s_i \in \{-1, +1\}; \mathbb{E}[r_i] = \mathbb{E}[s_i] = 0; \mathbb{E}[r_i s_i] = \rho \ge 0$.

• Notation:

• $isr_{\rho}(f) = cc of f$ with ρ -correlated bits.

- cc(f): Perfectly Shared Randomness cc. = $isr_1(f)$
- *priv(f)*: cc with PRIVate randomness
- Starting point: for Boolean functions f
 - $cc(f) \le isr_{\rho}(f) \le priv(f) \le cc(f) + \log n$

 $= isr_0(f)$

 $\rho \leq \tau \Rightarrow \\ isr_{\rho}(f) \geq isr_{\tau}(f)$

• What if $cc(f) \ll \log n$? E.g. cc(f) = O(1)

Imperfectly Shared Randomness: Results

- Model first studied by [Bavarian, Gavinsky, Ito'14] ("Independently and earlier").
 - Their focus: Simultaneous Communication; general models of correlation.
 - They show isr(Equality) = 0(1) (among other things)
- Our Results:
 - Generally: $cc(f) \le k \Rightarrow isr(f) \le 2^k$
 - Converse: $\exists f \text{ with } cc(f) \leq k \& isr(f) \geq 2^k$

Aside: Easy CC Problems



Equality Testing (our proof)

Key idea: Think inner products.

• Encode $x \mapsto X = E(x); y \mapsto Y = E(y); X, Y \in \{-1, +1\}^N$

•
$$x = y \Rightarrow \langle X, Y \rangle = N$$

$$\bullet x \neq y \Rightarrow \langle X, Y \rangle \leq N/2$$

- Estimating inner products:
 - Building on sketching protocols ...
 - Alice: Picks Gaussians $G_1, ..., G_t \in \mathbb{R}^N$,
 - Sends $i \in [t]$ maximizing $\langle G_i, X \rangle$ to Bob.
 - Bob: Accepts iff $\langle G'_i, Y \rangle \ge 0$
 - Analysis: $O_{\rho}(1)$ bits suffice if $G \approx_{\rho} G'$

Gaussian Protocol

General One-Way Communication

- Idea: All communication ≤ Inner Products
- (For now: Assume one-way- $cc(f) \le k$)
 - For each random string R
 - Alice's message = $i_R \in [2^k]$
 - Bob's output = $f_R(i_R)$ where $f_R: [2^k] \rightarrow \{0,1\}$
 - W.p. $\geq \frac{2}{3}$ over R, $f_R(i_R)$ is the right answer.

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- Vector representation:
 - $i_R \mapsto x_R \in \{0,1\}^{2^k}$ (unit coordinate vector)
 - $f_R \mapsto y_R \in \{0,1\}^{2^k}$ (truth table of f_R).
 - $f_R(i_R) = \langle x_R, y_R \rangle$; Acc. Prob. $\propto \langle X, Y \rangle$; $X = (x_R)_R$; $Y = (y_R)_R$
 - Gaussian protocol estimates inner products of unit vectors to within $\pm \epsilon$ with $O_{\rho}\left(\frac{1}{\epsilon^2}\right)$ communication.

Two-way communication

- Still decided by inner products.
- Simple lemma:
 - $\exists K_A^k, K_B^k \subseteq \mathbb{R}^{2^k}$ convex, that describe private coin k-bit comm. strategies for Alice, Bob s.t. accept prob. of $\pi_A \in K_A^k, \pi_B \in K_B^k$ equals $\langle \pi_A, \pi_B \rangle$

Putting things together:

Theorem:
$$cc(f) \le k \Rightarrow isr(f) \le O_{\rho}(2^k)$$

Part 3: Uncertain Functionality

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Model

- Alice knows $g \approx f$; Bob wishes to compute f(x, y)
- Alice, Bob given g, f explicitly. (Input size ~ 2^n)
- Questions:
 - What is ≈?
 - Is it reasonable to expect to compute f(x, y)?
 - E.g., f(x,y) = f'(x)? Can't compute f(x,y) without communicating x
- Answers:
 - Assume $x, y \sim \{0,1\}^n \times \{0,1\}^n$ uniformly.
 - $f \approx_{\delta} g$ if $\delta(f,g) \leq \delta$.
 - Suffices to compute h(x, y) for $h \approx_{\epsilon} f$

Results

- Thm [Komargodski,Kothari,S.]: ∀ε > 0,∃δ > 0 s.t. If f has one-way communication k, then in the (ε,δ) –uncertain model it has communication complexity O(k).
- Main Idea:
 - Canonical protocol for *f*:
 - Alice + Bob share random $x_1, \dots x_m \in \{0,1\}^n$.
 - Alice sends $f(x_1), \dots, f(x_m)$ to Bob.
 - Protocol used previously ... but not as "canonical".
 - Canonical protocol robust when $f \approx g$.
- Open: Interaction? Non-product distributions?

Conclusions

- Context Important:
 - New layer of uncertainty.
 - New notion of scale (context LARGE)
- Many open directions + questions

Thank You!