

# Network coding and cyclic convolutional codes

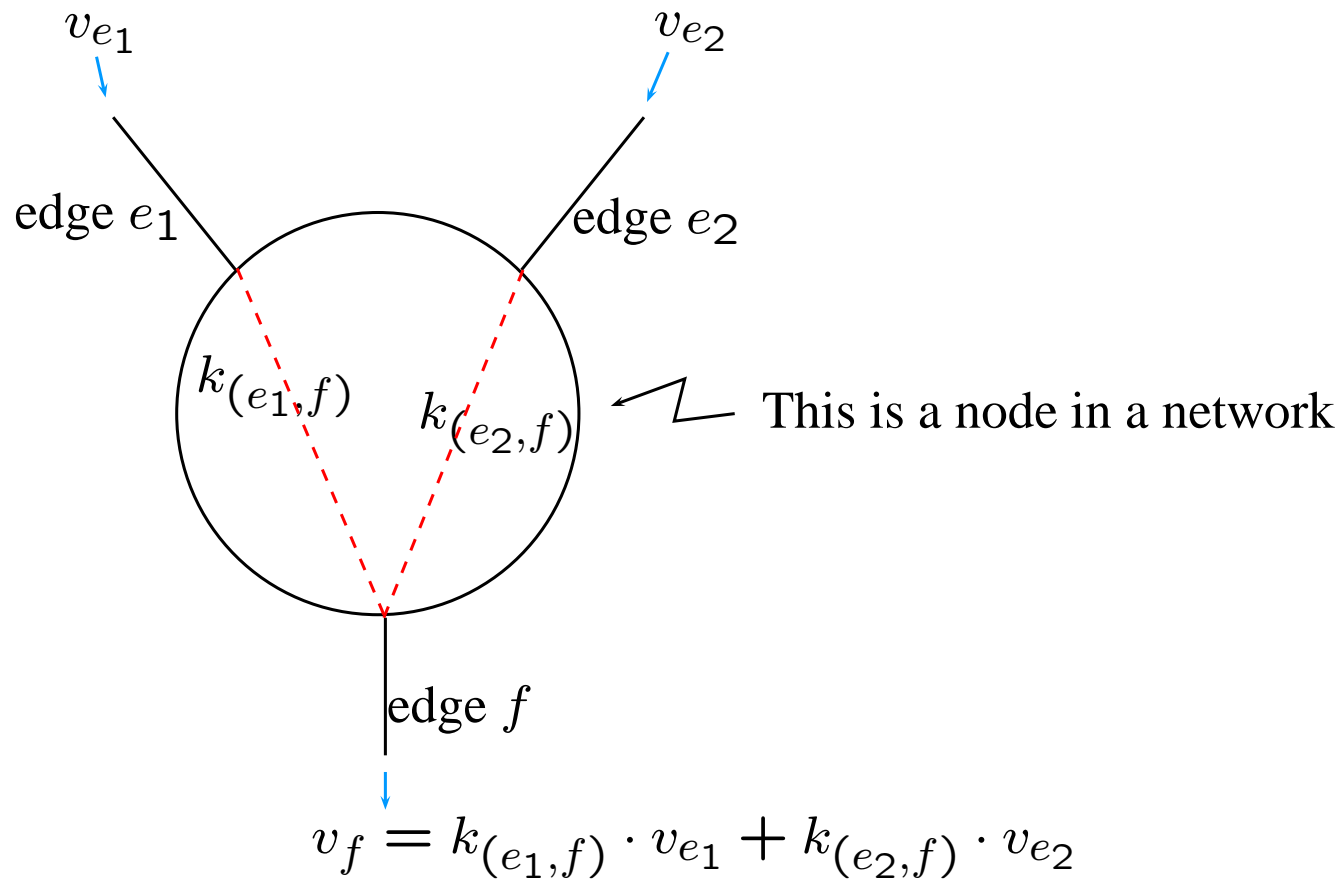
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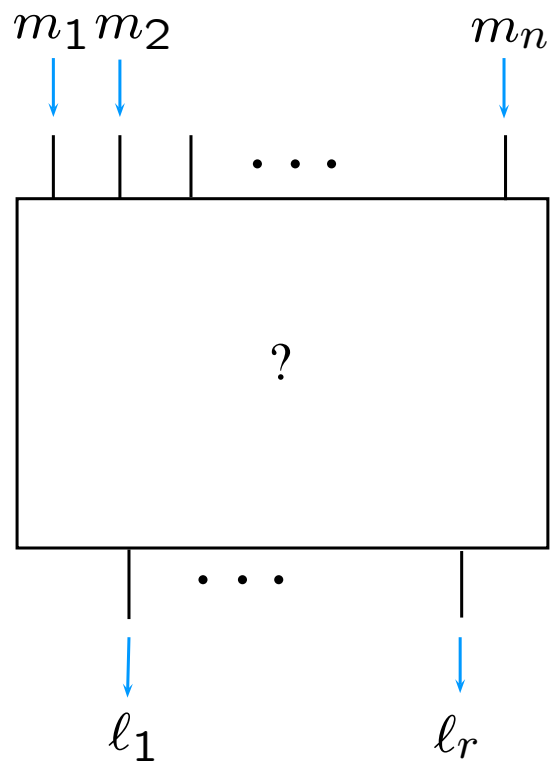
## Outline:

- a. Introduction: Koetter-Kschischang “subspace” network coding
- b. Our generalization
- c. Cyclic convolutional codes and NC



Say, input:  $v_{e_1} = (1, 0, 0)$ ,  $v_{e_2} = (0, 1, 0)$ .

The output vector  $v_f$  depending on the choice of **Local encoding coefficients:  $k$ 's.**



In an unknown (or random) network, without regard to the underlying topology, the output  $l$ 's are linear combinations of the input vectors  $m_i$ 's.

## a. K-Ks subspace NC

- Linear relation:  $(\ell_1, \dots, \ell_r)^T = G(m_1, \dots, m_n)^T$ .
- “There is *no* assumption here that the network operates synchronously or without delay or that the network is acyclic.”
- They proposed:  
INPUT= $X$ , a space generated by  $m$ 's,  
OUTPUT= $Y$ , a space generated by  $\ell$ 's.

## a. K-Ks subspace NC (Cont.)

Start with a large vector space, say  $M = \mathbb{F}^N$ .

Let  $P(M)$  be the collection of all subspaces of  $M$ .

A code  $\mathcal{C}$  is a subset of  $P(M)$ .

INPUT:  $X$ , as a set of generators of  $X$ .

OUTPUT:  $Y$ , the space generated by observable outputs  $\ell$ 's.

If there is no errors, we must have  $Y \subset X$ .

If there is error, they modelled it as  $Y = H_k(X) \oplus E$ , here  $k = \dim(X \cap Y)$  and  $H_k$  is an operator randomly choosing a  $k$ -dimensional subspace of  $X$ .

There is a distance concept in  $P(M)$ :

$$d(U, V) := \dim(U + V) - \dim(U \cap V),$$

$d$  makes  $P(M)$  into a metric space.

### a. K-Ks subspace NC (Cont.)

Comparison:

	Block code	Subspace NC
Code	$\mathcal{C} \subset \mathbb{F}^N$	$\mathcal{C} \subset P(\mathbb{F}^N)$
Codeword	$x$ vector	$X$ vector space
Metric	$d(x, y)$ Hamming	$d(U, V)$

## a.→b. Why generalize?

Some questions on Koetter-Kschischang's subspace NC framework:

1. What is the meaning of injecting vector spaces?
2. Really no assumption on delayness and cyclicity?
3. Is the model  $Y = H_k(X) \oplus E$  sensible?



## a.→b. 1. What is the meaning of injecting vector spaces?

Let  $X$  be a space injected using its generators  $\{m_1, \dots, m_n\}$  ( $\dim X \leq n$ ). Since the network is noncoherent, the matrix  $G$  may or may not be of full rank.

If  $G$  has full rank,  $Y = X$

→ no problem.

If  $G$  has deficient rank,  $\dim(Y) \leq \min(\text{rank}(G), \dim(X))$

→ even different injection order affects  $\dim(Y)$ , i.e.,  $d(Y, X)$  varies.

Note: **in no error case**

$$d(Y, X) = \dim(Y + X) - \dim(Y \cap X) = \dim X - \dim Y.$$

One needs to address the relationship between allowable rank loss (“erasure”) and  $d_C$  when designing a code  $C$ .

**a.→b. 2. No assumption needed on delayness and cyclicity?**

We adopt the assumptions:

- If no delays, we do not allow cycles.
- Cycles must come with delays.

c.f., theory of convolutional codes.

**a.→b. 3. Is the model  $Y = H_k(X) \oplus E$  sensible?**

Let  $m_1, \dots, m_n$  be the inputs which generates  $X$ .

The observable outputs are  $l'_1, \dots, l'_r$ , where

$$l'_i = l_i + \epsilon_i, \quad l_i \in X$$

and  $\epsilon_i$  represents the error (maybe 0).  $Y$  is generated by  $\{l'_i\}$ .

$$X = \mathbb{F}(m_1, \dots, m_n),$$

$$Y = \mathbb{F}(l'_1, \dots, l'_r) = \mathbb{F}(l_1 + \epsilon_1, \dots, l_r + \epsilon_r).$$

It may happen that  $\dim Y \cap X = 0$  or  $k = 0$ , hence  $Y = E$ .

*Do we really want to model “error” and “erasure” in this way?*

[Certainly we think there are better interpretations.]

## b. Generalization.

Ingredients:

$M$  a finitely generated free  $R$  module with  $R$  a principal ideal domain.

“finitely generated free”:  $M \approx R^N$

“domain”:  $a \cdot b = 0$  in  $R$  implies  $a = 0$  or  $b = 0$

“ideal”:  $I \subset R$  is an ideal if  $I$  is a subring and that  $z \in I$  implies  $a \cdot z \in I$  for all  $a \in R$ .

“subring”:  $I$  is a subring if  $a - b \in I$  for all  $a, b \in I$ .

Examples of  $(M, R)$ :  $(\mathbb{F}^N, \mathbb{F}) \leftarrow$  acyclic networks with no delay.

$(\mathbb{Z}^N, \mathbb{Z})$

$(\mathbb{F}[z]^N, \mathbb{F}[z]) \leftarrow$  acyclic networks with delays.

$(\mathbb{F}[(z)]^N, \mathbb{F}[(z)]) \leftarrow$  cyclic networks with delays (**Li-Sun**).

$(A[z; \sigma], \mathbb{F}[z]) \leftarrow$  cyclic convolutional codes.

## b. Generalization. (Cont.)

Admissible codewords:

$P(M)$  collection of all saturated submodules in  $M$ .

A code  $\mathcal{C}$  is a subset of  $P(M)$ .

“saturated”:  $X$  is a saturated submodule of  $M$  if

$$0 \neq a \cdot x \in X \Rightarrow x \in X.$$

Equivalent def.: if  $X \oplus J = M$  for some  $J \subset M$ .

$d$  a metric on  $P(M)$ :

$$d(X, Y) := \text{rank}(X) + \text{rank}(Y) - 2 \cdot \text{rank}(X \cap Y)$$

(can prove) =  $\text{rank}(X + Y) - \text{rank}(X \cap Y)$ .

“ $\text{rank}(X)$ ” is the cardinality of a basis of  $X$ .

## b. Our answers

Let  $m_1, \dots, m_n$  generate  $X$  (INPUT).

Observable outputs are  $l'_1, \dots, l'_r$  that generate  $Y$  (OUTPUT).

$$l'_i = l_i + \epsilon_i, \quad \text{here } l_i \in X, \epsilon_i \text{ is error.}$$

Let  $Y_0 := R(l_1, \dots, l_r)$  and  $E = R(\epsilon_1, \dots, \epsilon_r)$ .

If all  $\epsilon_i = 0$  (no errors), then  $Y = Y_0 \subset X$ .

In other cases,  $Y \subset Y_0 + E$ .

“rank loss” =  $\text{rank}(X) - \text{rank}(Y_0)$

“error” =  $\text{rank}(E)$ .

**Theorem.** Let  $\mathcal{C}$  be a code with minimal distance  $d_{\mathcal{C}}$ . Then  
rank loss +  $2 \cdot$  error  $< d_{\mathcal{C}}/2$  implies  $d(Y, X) < d_{\mathcal{C}}/2$ .

### c. Cyclic convolutional codes and NC

Let  $R = \mathbb{F}[z]$  a polynomial ring.

$M = A[z; \sigma]$  a skew polynomial ring which is also a f.g. free module over  $R$ .

$A = \mathbb{F} \times \cdots \times \mathbb{F}$  ( $N$ -copies),  $A$  has *primitive idempotents*  $e_1, \dots, e_N$ .

$A = \mathbb{F}e_1 + \cdots + \mathbb{F}e_N$ . [If you like, you may think of  $e_1 = (1, 0, \dots)$ .]

$\sigma : A \rightarrow A$  automorphism which fixes  $\mathbb{F}$  such that  
 $\sigma(e_i) = e_{i+1}$  and  $\sigma(e_N) = e_1$ .

Elements in  $M$  are polynomials

$$a_0 + a_1z + \dots + a_s z^s.$$

Multiplication of  $z$  follows the rule:  $za = \sigma(a)z$ .

Hence  $z(a_0 + a_1z + \dots + a_s z^s) = \sigma(a_0)z + \dots + \sigma(a_s)z^{s+1}$ .

### c. Cyclic convolutional codes and NC (Cont.)

Some facts:

- $M \approx R^N$ .
- All elements in  $P(M)$  are called *cyclic convolutional codes*.
- All elements in  $P(M)$  are principal left  $M$ -ideal, i.e.,  $X = Mg$ .
- Rank of an element in  $P(M)$  is easily calculated, namely,  
if  $g = g_0 + g_1z + \dots$  then  $rank(X) = \#\{i \mid g_0e_i \neq 0\}$
- $d(X, Y)$  easily estimated, thus ease code design.



## Further problems

1. Exists other metrics?

[Injection metric]

2. Constructions of cyclic convolutional codes for NC?

[We have a simple construction]

3. Simulation results?

## References

R. Koetter and F. R. Kschischang. *Coding for errors and erasures in random network coding*. IEEE-IT 2008.

S.-Y. R. Li and Q. T. Sun. *Network coding theory via commutative algebra*. To appear in IEEE-IT.

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