## **Distributed Reed-Solomon Codes**

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# Research interests

- List-decoding of algebraic codes
  - Construction of efficient list-decodable codes over GF(2)
  - Efficient List-decoding of RS codes beyond the Johnson bound

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  - Construction of efficient list-decodable codes over GF(2)
  - Efficient List-decoding of RS codes beyond the Johnson bound
- Applications of compressed sensing
  - Phase retrieval problem
- Power optimization over relay network
  - Computing the cut-set bound of a relay network efficiently
  - Computing diversity multiplexing tradeoff of generalized half-duplex relay networks

#### **Reed-Solomon codes**

Encoding of RS(n,k,d):

Information symbols:  $(u_1, u_2, ..., u_k) \in \mathbb{F}_q^k$   $\downarrow f(X) = u_1 + u_2 X + \dots + u_k X^{k-1} \in \mathbb{F}_q[X]$   $\downarrow \downarrow$ Polynomial evaluation:  $f(\alpha_1), f(\alpha_2), ..., f(\alpha_n) \quad (\alpha_1, ..., \alpha_n) \in \mathbb{F}_q^n$   $\downarrow \downarrow$ Codeword:  $(c_1, c_2, ..., c_n) \in \mathbb{F}_q^n$ 

#### Reed-Solomon codes

Encoding of RS(n,k,d):

**Equivalently:** 

$$(u_1, u_2, \dots, u_k) \begin{bmatrix} 1 & \cdots & 1 \\ \vdots & \ddots & \vdots \\ \alpha_1^{k-1} & \cdots & \alpha_n^{k-1} \end{bmatrix} = (c_1, c_2, \dots, c_n)$$

#### **Reed-Solomon codes**

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Polynomial evaluation:  $f(\alpha_1), f(\alpha_2), ..., f(\alpha_n) \quad (\alpha_1, ..., \alpha_n) \in \mathbb{F}_q^n$   
 $\downarrow \downarrow$   
Codeword:  $(c_1, c_2, ..., c_n$   
Generator matrix,  
 $G_{RS}$ , of  $RS$  code.  
Equivalently:  
 $(u_1, u_2, ..., u_k) \begin{bmatrix} 1 & \cdots & 1\\ \vdots & \ddots & \vdots\\ \alpha_1^{k-1} & \cdots & \alpha_n^{k-1} \end{bmatrix} = (c_1, c_2, ..., c_n)$ 



### **Reed-Solomon Encoding**

























W. Halbawi, T. Ho, H. Yao and I. Duursma, "Distributed codes for simple multiple access network," arXiv:1310.5187v1, 2013.



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If relay nodes encode a RS[*n*, *k*, d=2z+1] code, then destination can recover the data.

#### **Constrained MDS generator matrices**

#### **MDS matrix completion problem:**

Assume *M* is a binary  $n \times k$  matrix that satisfy *no rectangle condition* (it has no all-zero submatrix of total dimension exceeding *k*). Is there exist an MDS completion for *M*, i.e. replacing 1's in *M* by elements of  $\mathbb{F}_q$  such that the constructed matrix generates an MDS code?

#### **Balanced sparsest generator matrix for MDS codes:**

Constructing a generator matrix, M, for an MDS code such that each row of M has weight n-k+1 and column weights of M differ from each other by at most one.

#### Weakly secure cooperative data exchange problem

A group of wireless clients have access to different subsets of *n* packets and the like to exchange the packets over a lossless broadcast channel secuirly.

# **Related works**

- [Yao, Ho, Nita-Rotaru '11] Key agreement for wireless network in the presence of active adversaries
- [Halbawi, Ho, Yao, Duursma'13] Distributed Reed-Solomon codes for simple multiple access networks
- [Dau, Song, Dong, Yuen '13] Balanced sparsest generator matrices for MDS codes
- [El Rouayheb, Sprintson, Sadeghi '10] On coding for cooperative data exchange
- [Dau, Song, Sprintson, Yuen '15] Constructions of MDS codes via random Vandermonde and Cauchy matrices over small fields
- [Dau, Song, Yuen '14]On the existence of MDS codes over small fields with constrained generator matrices
- [Yan, Sprintson '13] Algorithms for weakly secure data exchange
- [W. Halbawi, M. Thill and B. Hassibi] Coding with constraints: Minimum distance bounds and systematic constructions
- [W. Halbawi, Z. Liu and B. Hassibi] Balanced Reed-Solomon codes













W. Halbawi, T. Ho, H. Yao and I. Duursma, "Distributed codes for simple multiple access network," arXiv:1310.5187v1, 2013.

#### Necessary condition (network coding)



We can only hope to find a distributed RS code for rates  $(r_1, r_2, ..., r_s)$  in the *capacity region* of the network.

- N. Cai and R. W. Yeung (2006)
  - Single source multicast networks
- D. Silva, F. R. Kschischang, and R. Koetter (2008)
  - Rank-metric codes
- S. Mohajer, M. Jafari, S. Diggavi, and C. Fragouli (2009)
  - Two source multicast networks
- T. Dikaliotis, T. Ho, S. Jaggi, S. Vyetrenko, H. Yao, M. Effros, J. Kliewer, and E. Erez (2011)
  - Multisource multicast networks
- X. Guang and Z. Zhang (2014)
  - Linear network error correction coding







Computationally efficient linear network codes with decoding success probability of at least 1 - |s|/|E|/p and complexity  $O(l m^{|S|})$ 


#### Necessary condition (network coding)



T. Dikaliotis, T. Ho, S. Jaggi, S. Vyetrenko, H. Yao, M. Effros, J. Kliewer, and E. Erez, "Multiple access network information-flow and correction codes", *IEEE IT*, 57(2), 2011.

#### Necessary condition (three sources)

$$G_{RS} = r_{2} \begin{bmatrix} n_{1} & n_{2} & n_{3} & n_{12} & n_{13} & n_{23} & n_{123} \\ r_{1} \begin{bmatrix} \times & 0 & 0 & \times & \times & 0 & \times \\ 0 & \times & 0 & \times & 0 & \times & \times \\ 0 & 0 & \times & 0 & \times & \infty & \times \\ 0 & 0 & \times & 0 & \times & \times & \times \end{bmatrix} \begin{bmatrix} 2 \\ 2 \\ 2 \\ 2 \\ 2 \end{bmatrix}$$

 $Z_1 = \{\text{positions of zeros of the first group}\}$ 

 $Z_2 = \{ \text{positions of zeros of the second group} \}$ 

 $Z_3 = \{ \text{positions of zeros of the third group} \}$ 

Capacity region:

$$\begin{split} r_i &\leq k - |\mathcal{Z}_i|, i \in \{1, 2, 3\} \\ r_i + r_j &\leq k - |\mathcal{Z}_i \cap \mathcal{Z}_j|, i, j \in \{1, 2, 3\} \\ r_1 + r_2 + r_3 &\leq k \end{split}$$

#### Necessary condition (three sources)

 $n_{12} n_{13} n_{23}$  $n_{123}$  $\times$   $Z_1 = \{\text{positions of zeros of the first group}\}$  $0 \times \times 0$  $r_1 \propto$ ×  $Z_2 = \{\text{positions of zeros of the second group}\}$  $G_{RS} = r_2$ 0 × X  $\mathcal{Z}_3 = \{ \text{positions of zeros of the third group} \}$ X Х X Capacity region:  $r_i \leq k - |\mathcal{Z}_i|, i \in \{1, 2, 3\}$  $r_i + r_j \le k - |Z_i \cap Z_j|, i, j \in \{1, 2, 3\}$  $r_1 + r_2 + r_3 \le k$ 

#### Necessary condition (three sources)

$$G_{RS} = r_{2} \begin{bmatrix} n_{1} & n_{2} & n_{3} & n_{12} & n_{13} & n_{23} & n_{123} \\ x & 0 & 0 & x & x & 0 & x \\ 0 & x & 0 & x & x & x \\ 0 & 0 & x & 0 & x & x \\ 0 & 0 & x & 0 & x & x & x \end{bmatrix}$$

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Capacity region:

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If rates are inside the capacity region, Halbawi *et al.* (2014) showed that for up to *three sources* one can always find  $G_{RS}$ .

#### Necessary conditions (three sources)

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p}

 $n_1 n_2 n_3 n_{12} n_{13} n_{23} n_{123}$ 

We are going to show that for any number of sources if rates are inside the capacity region of SMAN, one can always construct the generator matrix  $G_{RS}$  for the distributed RS code over a finite field of size at least n.

If rates are inside the capacity region, Halbawi *et al.* (2014) showed that for up to *three sources* one can always find  $G_{RS}$ .

# Proof (by induction on # sources)

- The result holds for the case of two sources
- We assume that the result holds for the case of having less than *s* sources. We show that it holds for the case of *s* sources

Constraints for the case of *s* sources:

$$\begin{split} r_{1} &\leq k - |\mathcal{Z}_{1}| \\ r_{2} &\leq k - |\mathcal{Z}_{2}| \\ \vdots \\ r_{s} &\leq k - |\mathcal{Z}_{s}| \\ \vdots \\ r_{i_{1}} + r_{i_{2}} + \cdots + r_{i_{l}} &\leq k - |\mathcal{Z}_{i_{1}} \cap \mathcal{Z}_{i_{2}} \cap \cdots \cap \mathcal{Z}_{i_{l}}| \\ \vdots \\ r_{1} + r_{2} + \cdots + r_{s} &\leq k \end{split}$$

### When rates are inside the boundaries



## Rates inside the boundaries



## Rates inside the boundaries



### Rates on the boundary













Constraints:  

$$\begin{aligned}
r_{1} \leq k - |Z_{1}| \\
\vdots \\
r_{i} \leq k - (|Z_{i}| + 1) \\
\vdots \\
r_{s} \leq k - |Z_{s}|
\end{aligned}$$
Keep adding zero columns until a set of inequalities becomes tight.

$$r_1 + r_2 + \dots + r_s = k$$

We can always **add a column of all zeros** to the *i*-th group of  $G_{RS}$ without violating the constraints.

$$G_{RS} = \begin{bmatrix} r_1 \\ \times & 0 & 0 & \times & \times & \times & 0 & \times \\ r_2 & 0 & \times & 0 & \times & 0 & 0 & \times & \times \\ r_3 & 0 & 0 & \times & 0 & 0 & \times & \times & \times \end{bmatrix}$$



















 $\begin{aligned} r_{i_1} + r_{i_2} + \cdots + r_{i_l} &\leq k - |Z_{i_1} \cap Z_{i_2} \cap \cdots \cap Z_{i_l}| \\ &\vdots \end{aligned}$ 

 $r_1 + r_2 + \dots + r_s \le k$ 

Consider we are looking for a distributed RS code with length n=6 and dimension k=3 such that  $r_1=r_2=r_3=1$  and the generator matrix has the following form:

Evaluation points:  $\alpha_1 \quad \alpha_2 \quad \alpha_3 \quad \alpha_4 \quad \alpha_5 \quad \alpha_6$ 

$$G_{RS} = \begin{bmatrix} \times & \times & \times & \times & 0 & 0 \\ \times & \times & 0 & 0 & \times & \times \\ 0 & 0 & \times & \times & \times & \times \end{bmatrix}$$



So  $r_i = k - |\mathcal{Z}_i| = 3 - 2 = 1, r_i + r_j \le 3, r_1 + r_2 + r_3 \le 3$ 

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So 
$$r_i = k - |\mathcal{Z}_i| = 3 - 2 = 1, r_i + r_j \le 3, r_1 + r_2 + r_3 \le 3$$

General form of RS codewords from the first two rows:

$$c_{12}(x) = f_0(x - \alpha_5)(x - \alpha_6) + g_0(x - \alpha_3)(x - \alpha_4)$$

Consider we are looking for a distributed RS code  $r_3$ with length n=6 and dimension k=3 such that  $r_1 = r_2 = r_3 = 1$  and the generator matrix has the following form: Evaluation points:  $\alpha_1 \quad \alpha_2 \quad \alpha_3 \quad \alpha_4 \quad \alpha_5 \quad \alpha_6$  $G_{RS} = \begin{bmatrix} \times & \times & \times & \times & 0 & 0 \\ \times & \times & 0 & 0 & \times & \times \\ 0 & 0 & \times & \times & \times & \times \end{bmatrix}$  $r_2$  $r_1$  $r_i = k - |\mathcal{Z}_i| = 3 - 2 = 1, r_i + r_j \le 3, r_1 + r_2 + r_3 \le 3$ So General form of RS codewords from the first two rows:  $c_{12}(x) = f_0(x - \alpha_5)(x - \alpha_6) + g_0(x - \alpha_3)(x - \alpha_4)$ 

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Consider we are looking for a distributed RS code  $r_3$ with length n=6 and dimension k=3 such that  $r_1 = r_2 = r_3 = 1$  and the generator matrix has the following form: Evaluation points:  $\alpha_1 \quad \alpha_2 \quad \alpha_3 \quad \alpha_4 \quad \alpha_5 \quad \alpha_6$  $G_{RS} = \begin{bmatrix} \times & \times & \times & \times & 0 & 0 \\ \times & \times & 0 & 0 & \times & \times \\ 0 & 0 & \times & \times & \times & \times \end{bmatrix}$  $r_2$  $r_1$ To have a counterexample, The generator matrix  $r_i + r_j \le 3, r_1 + r_2 + 7$ evaluation points should satisfy is not full rank! 😕 *specific* constraints! the first two rows:  $c_{12}(x) = f_0(x - \alpha_5)(x - \alpha_6) + g_0(x - \alpha_3)(x - \alpha_4)$  $\left| \text{If } \left| \frac{(\alpha_1 - \alpha_5)(\alpha_1 - \alpha_6)}{(\alpha_2 - \alpha_5)(\alpha_2 - \alpha_6)} = \frac{(\alpha_1 - \alpha_3)(\alpha_1 - \alpha_4)}{(\alpha_2 - \alpha_3)(\alpha_3 - \alpha_4)} \right| \Rightarrow \left( \exists f_0, g_0: c_{12}(\alpha_1) = c_{12}(\alpha_2) = 0 \right) \right|$ 



Constra

 $G_{RS}$ 

traints:  

$$\begin{array}{c}
r_1 = k - |Z_1| \\
r_i = k - |Z_i| \\
\vdots \\
r_s = k - |Z_s|
\end{array}$$

$$\begin{array}{c}
r_3 \\
r_4 \\
r_2 \\
r_3 \\
0 \quad 0 \quad \times \quad 0 \quad \times \quad \infty \\
0 \quad 0 \quad \times \quad 0 \quad \times \quad \times \\
0 \quad 0 \quad \times \quad 0 \quad \times \quad \times \\
0 \quad 0 \quad \times \quad 0 \quad \times \quad \times \\
\end{array}$$

**Theorem:** There is always *a set of evaluation points* such that one can construct a full rank generator matrix in case I.

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**Proof by induction:** 

$$|Z_{3}| \left( \begin{array}{cccc} r_{1} & & r_{2} \\ P_{1}(\alpha_{i_{1}}) & \cdots & \alpha_{i_{1}}^{r_{1}-1}P_{1}(\alpha_{i_{1}}) & P_{2}(\alpha_{i_{1}}) & \cdots & \alpha_{i_{1}}^{r_{2}-1}P_{2}(\alpha_{i_{1}}) \\ \vdots & \ddots & \vdots & \vdots & \ddots & \vdots \\ P_{1}(\alpha_{i_{|Z_{3}|}}) & \cdots & \alpha_{i_{|Z_{3}|}}^{r_{1}-1}P_{1}(\alpha_{i_{|Z_{3}|}}) & P_{2}(\alpha_{i_{|Z_{3}|}}) & \cdots & \alpha_{i_{|Z_{3}|}}^{r_{2}-1}P_{2}(\alpha_{i_{|Z_{3}|}}) \end{array} \right) \left[ \begin{array}{c} f_{0} \\ \vdots \\ f_{r_{1}-1} \\ g_{0} \\ \vdots \\ g_{r_{2}-1} \end{array} \right] = 0$$

$$r_{1} + r_{2} + r_{3} \le k, r_{3} = k - |Z_{3}| \Rightarrow |Z_{3}| \ge r_{1} + r_{2}$$
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**Proof by induction:** 

$$\begin{split} & \begin{array}{c} r_{1} & \hline r_{2} \\ P_{1}(\alpha_{i_{1}}) & \cdots & \alpha_{i_{1}}^{r_{1}-1}P_{1}(\alpha_{i_{1}}) & P_{2}(\alpha_{i_{1}}) & \cdots & \alpha_{i_{1}}^{r_{2}-1}P_{2}(\alpha_{i_{1}}) \\ \vdots & \ddots & \vdots & \vdots & \ddots & \vdots \\ P_{1}(\alpha_{i_{|Z_{3}|}}) & \cdots & \alpha_{i_{|Z_{3}|}}^{r_{1}-1}P_{1}(\alpha_{i_{|Z_{3}|}}) & P_{2}(\alpha_{i_{|Z_{3}|}}) & \cdots & \alpha_{i_{|Z_{3}|}}^{r_{2}-1}P_{2}(\alpha_{i_{|Z_{3}|}}) \\ r_{1} + r_{2} + r_{3} \leq k, r_{3} = k - |Z_{3}| \Rightarrow |Z_{3}| \geq r_{1} + r_{2} \\ M_{(r_{1}+r_{2})\times(r_{1}+r_{2})} = \begin{bmatrix} P_{1}(y_{1}) & \cdots & y_{1}^{r_{1}-1}P_{1}(y_{1}) & P_{2}(y_{1}) & \cdots & y_{1}^{r_{2}-1}P_{2}(y_{1}) \\ \vdots & \ddots & \vdots & \vdots & \ddots & \vdots \\ P_{1}(y_{r_{1}+r_{2}}) & \cdots & y_{r_{1}+r_{2}}^{r_{1}-1}P_{1}(y_{r_{1}+r_{2}}) & P_{2}(y_{r_{1}+r_{2}}) & \cdots & y_{r_{1}+r_{2}}^{r_{2}-1}P_{2}(y_{r_{1}+r_{2}}) \end{bmatrix} \\ \text{By induction } \exists \alpha_{1}, \dots, \alpha_{r_{1}+r_{2}} : \det M(\alpha_{1}, \dots, \alpha_{r_{1}+r_{2}}) \neq 0 \Rightarrow \det M(y_{1}, \dots, y_{r_{1}+r_{2}}) \neq 0 \\ \hline \text{Choose } \alpha_{i_{1}}, \dots, \alpha_{i_{|Z_{3}|}} \text{ such that } \det M(\alpha_{i_{1}}, \dots, \alpha_{i_{r_{1}+r_{2}}}) \neq 0 \end{split}$$



$$r_{1} + \dots + r_{l}$$

$$M$$

$$P_{1}(y_{1}) \dots y_{1}^{r_{1}-1}P_{1}(y_{1}) P_{2}(y_{1}) \dots y_{1}^{r_{2}-1}P_{2}(y_{1}) \dots F_{l}} \begin{bmatrix} f_{0} \\ \vdots \\ f_{r_{1}-1} \\ g_{0} \\ \vdots \\ g_{r_{2}-1} \end{bmatrix} = \begin{bmatrix} c_{1} \\ \vdots \\ c_{n} \end{bmatrix}$$
We just showed that there exist a matrix  $M$  such that
$$\det M = h(y_{1}, \dots, y_{n}) \neq 0$$

choose evaluation points  $(\alpha_1, ..., \alpha_n)$  such that  $h(\alpha_1, ..., \alpha_n) \neq 0$ 







### Size of the required finite-field

$$G_{RS} = \begin{bmatrix} f_1(\alpha_1)P_1(\alpha_1) & \cdots & f_1(\alpha_n)P_1(\alpha_n) \\ \vdots & \ddots & \vdots \\ f_{r_1}(\alpha_1)P_1(\alpha_1) & \cdots & f_{r_1}(\alpha_n)P_1(\alpha_n) \\ g_1(\alpha_1)P_2(\alpha_1) & \cdots & g_1(\alpha_n)P_2(\alpha_n) \\ \vdots & \ddots & \vdots \\ g_{r_2}(\alpha_1)P_2(\alpha_1) & \cdots & g_{r_2}(\alpha_n)P_2(\alpha_n) \\ \vdots & \ddots & \vdots \end{bmatrix} \uparrow r_2$$

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Full rank

Choose evaluation points such that:  $\det M \neq 0$ 

$$\deg \det M \le k(k-1)$$
,  $\max_{i} \deg \alpha_i \le k-1$ 

**Extended Schwartz-Zippel Lemma**: If size of the finite-field is larger than or equal to *n*, then there are sets of evaluation points that satisfy the inequality.

## Future work

- We can construct a randomized algorithm that finds  $G_{RS}$ . Find an efficient determinist algorithm for the problem.
- Extend the results to general multiple-source networks (Gabidulin codes)
- Look for applications in storage systems